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PCT/EP 03/01475

**Blatt 2 der Bescheinigung**  
**Sheet 2 of the certificate**  
**Page 2 de l'attestation**



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Retardation plate

The invention relates to a retardation plate with a birefringent crystal plate, which has an entry face and an exit face for incident and emerging light, respectively.

- 5 The term retardation plates, or phase plates, refers to optically birefringent plane-parallel plates, which generally consist of an optically uniaxial crystal. The surfaces of the retardation plate are parallel to the optical axis of the crystal, so that a normally incident
- 10 wave is split into two waves oscillating mutually orthogonally with a phase difference dependent on the plate thickness. Behind the retardation plate, the light is combined to form a polarisation state which depends on the plate thickness. If, for example, this thickness is chosen
- 15 so that the phase difference corresponds to one quarter of the wavelength of the incident light, then the retardation plate is referred to as a quarter-wave plate, which converts linearly polarised light into elliptically or circularly polarised light, and vice versa. If, however,
- 20 the phase difference introduced between the polarisation directions by the retardation plate is a half wavelength, then this is referred to as a half-wave plate, which, for example, can be used to invert the handedness of elliptically or circularly polarised light.
- 25 Retardation plates are used, for example, in catadioptric projection objectives of microlithographic projection illumination systems. Such systems are nowadays operated with such short-wave ultraviolet light that very many birefringent crystals are no longer viable as a material
- 30 for the retardation plates owing to excessive adsorption.

Magnesium fluoride is in principle suitable for this wavelength range, but it has such a high birefringence that very stringent requirements need to be placed on the manufacturing tolerances. Indeed, even very minor  
5 deviations from the intended thickness lead to a noticeable deviation from the desired phase difference between the orthogonal polarisation directions. Owing to the high birefringence of magnesium fluoride, it is furthermore technologically difficult to produce zeroth-order  
10 retardation plates, in which the phase difference being introduced is exactly  $\lambda/4$  and not, for instance,  $(n+1/4)\lambda$ , with  $n = 1, 2, \dots$ . Such zeroth-order retardation plates are in fact so thin that both their production and their handling in optical instruments entail  
15 significant problems. Zeroth-order retardation plates are generally preferred because their function depends less strongly on the angle at which the light strikes the retardation plate. This aspect is of particular importance in the aforementioned projection objectives, since these  
20 often have a numerical aperture of more than 0.3, so that large angles of incidence can occur.

It is therefore an object of the invention to provide a retardation plate of the type mentioned in the introduction, which is suitable for use in microlithographic projection  
25 illumination systems. In particular, the retardation plate is intended to have a high transparency in the ultraviolet radiation range, to be simple to produce and to handle, and furthermore to be usable even in wide-aperture optical systems.

30 This object is achieved, in the case of a retardation plate of the type mentioned in the introduction, by the fact that the crystal plate consists of an alkaline-earth metal

fluoride, in particular of fluorspar, and its optical axis is aligned at least approximately in the direction of the  $\langle 110 \rangle$  crystal axis or of a principal crystal axis equivalent thereto, and by the fact that a form-birefringent layer structure is applied to the entry and/or exit face.

The invention is based, on the one hand, on the fact that very many alkaline-earth metal fluoride crystals, for example fluorspar crystals ( $\text{CaF}_2$ ) or barium fluoride crystals ( $\text{BaF}_2$ ) have an intrinsic birefringence for beam propagation in the direction of the  $\langle 110 \rangle$  crystal axis. The birefringence for beam propagation along the other crystal axis directions, however, is small. Since these crystals have a high transparency in the ultraviolet wavelength range, they are suitable in particular for use in projection objectives of microlithographic projection illumination systems. Since the birefringence of these crystals is also comparatively small in the  $\langle 110 \rangle$  direction, it is thereby possible to produce zeroth-order retardation plates which are not as thin as, for example, retardation plates made of magnesium fluoride. Less stringent requirements are therefore placed on the manufacturing tolerances relating to the plate thickness.

It has furthermore been found that, in form-birefringent layer structures such as those disclosed by US 6 384 974 B1, for example, the angular dependency of the birefringent effect is different compared with alkaline-earth fluoride crystals, and is in fact essentially reversed: although - as already mentioned above - the birefringence decreases with increasing angles of incidence in such crystals, the situation is precisely the opposite in the form-birefringent layer structure, that is to say the

birefringence increases with increasing angle of incidence. In this way, the decreasing birefringence of the crystals at larger angles of incidence is compensated for at least partially by the birefringence of the layer structure, which then increases. With a suitable configuration of the layers, it is even possible to achieve a substantially angle-independent phase difference between orthogonally polarised components of the light.

Such a retardation plate is therefore also suitable for very wide-aperture objectives in projection illumination systems.

The form-birefringent layer structure may be configured as a periodic sequence of at least two layers with alternating refractive indices. The thicknesses of the layers must then be smaller than the wavelength for which the retardation plate is designed. The thicknesses of the layers are advantageously less than  $1/5$  or even  $1/10$  of this wavelength. In fact, the smaller the thicknesses of the layers are compared with the wavelength of the incident light, the more the layer structure acts as a homogeneous uniaxial birefringent medium for incident light. It is furthermore preferable for all the layers to have the same thickness.

An exemplary embodiment of the invention will be explained below with the aid of the drawing, in which:

Figure 1 represents a disc-shaped retardation plate in a section along its symmetry axis;

Figure 2 shows a refractive-index ellipsoid for a layer structure which is part of the retardation plate shown in

Figure 1.

Figure 1 shows a retardation plate, denoted overall by 10, in a section along its symmetry axis. The retardation plate 10 has a fluorspar crystal plate 12, whose optical axis indicated by 11 is aligned at least approximately in the direction of the  $\langle 110 \rangle$  crystal axis.

An upper dielectric layer structure 14 and a lower dielectric layer structure 16 are respectively applied to the upper and lower sides 13 and 15 of the disc-shaped fluorspar crystal plate 12. As can be seen from the enlarged representation in Figure 1, the lower layer structure 16 consists of a sequence of six dielectric layers 161, 162, ..., 166 with an alternating refractive index. In the exemplary embodiment being represented, the layers 161, 163 and 165 have a first refractive index  $n_1$ , whereas the layers 162, 164 and 166 have a second refractive index  $n_2$  which is different from the refractive index  $n_1$ . All the layers 161, 162, ..., 166 have the same thickness  $d$ , which, in the exemplary embodiment being represented, is  $1/10$  of the wavelength of the incident light. If the retardation plate 10 is designed, for example, for ultraviolet light with the wavelength  $\lambda = 153 \text{ nm}$ , then the thickness  $d$  is only about  $15 \text{ nm}$ . For the sake of clarity, the thickness of the individual layers 161 to 166 is consequently represented on a significantly exaggerated scale in Figure 1.

The lower layer structure 16 is form-birefringent because of the alternating sequence of layers 161 to 166 with high and low refractive index. This means that the lower layer structure 16 has a differing refractive index, depending on the polarisation direction of the light, for light incident obliquely to the layer planes. Figure 2 shows a refractive-index ellipsoid for the lower layer structure 16. It is



clear from this that light which is polarised parallel to the layer planes is exposed to the refractive index  $n_o$  for the ordinary beam, whereas light which is polarised perpendicularly to the layer planes is exposed to the refractive index  $n_e$  for the extraordinary beam, with  $n_e < n_o$ .

The relationship between the refractive indices  $n_e$  and  $n_o$ , on the one hand, and the refractive indices  $n_1$  and  $n_2$  of the layers 161, 162, ..., 166 as well as the layer thickness  $d$ , on the other hand, is described for example in the aforementioned US 6 384 974.

Since light incident normally on the layer structure is always polarised parallel to the layer planes, the lower layer structure 16 is not birefringent for such a light beam. However, the larger the angle is between the layer planes and the light passing through, the stronger is the birefringent effect of the lower layer structure 16 - at least for unpolarised or circularly polarised light.

The upper layer structure 14 is constructed precisely like the lower layer structure 16, so that the comments made above correspondingly apply here.

In figure 1, the birefringent effect of the upper and lower layer structures 14 and 16, as well as the fluorspar crystal plate 12, is illustrated highly schematically for two linearly polarised light beams 22 and 24. The light beam 22 in this case strikes the entry face 18 of the retardation plate 10 in such a way that it passes normally through the upper layer structure 14. Owing to this normal transmission, as mentioned above, the light beam 22 is not exposed to any birefringence in the upper layer structure 14. As a consequence of this, splitting of the wavefronts does not take place there either. As soon as the wavefronts

enter the fluorspar crystal plate 12, however, the incident wave is split in the way typical of birefringence into an ordinary wave and an extraordinary wave, which are respectively illustrated in Figure 1 as dashed and dotted wavefronts. This splitting of the wavefronts, and the concomitant increase in the phase difference, ends as soon as the wavefronts enter the lower layer structure 16, since the beam 22 is not exposed to any birefringence there. The emerging beam 22 has the desired phase difference of  $\lambda/4$  or  $\lambda/2$ , corresponding to the thickness of the layer 12, between the two mutually orthogonally polarised components.

The second beam 24 is inclined relative to the first beam 22 in such a way that it strikes the entry face 18 of the retardation plate 10 at a large angle. For this angle of incidence, both the upper and lower layer structures 14 and 16 have a strongly birefringent effect, whereas the fluorspar crystal plate 12 lying in-between is hardly at all birefringent for this angle of incidence. The splitting of the wavefronts introduced by the upper layer structure 14 is therefore substantially preserved during transmission through the fluorspar crystal plate 12, until further splitting of the wavefronts takes place in the lower layer structure 16. As can be seen in Figure 1, the layer structures 14 and 16 are configured in such a way that the overall splitting of the wavefronts, that is to say the phase difference introduced by the retardation plate 10 for the different polarisation directions, corresponds approximately in the case of the beam 24 incident obliquely to the optical axis 11 to the phase difference which has been introduced by the retardation plate 10 for the beam 22 incident normally to the optical axis 11. In this way, the retardation plate 10 makes it possible to produce an

approximately constant phase difference for light beams over a large range of angles of incidence.

Patent claims:

1. Retardation plate with a birefringent crystal plate (12), which has an entry face (13) and an exit face (15) for incident and emerging light (22, 24), respectively,  
5 characterised in that the crystal plate (12) consists of an alkaline-earth metal fluoride, in particular of fluorspar, and its optical axis (11) is aligned at least approximately in the direction of the  $\langle 110 \rangle$  crystal axis or of a substantially equivalent principal crystal axis, and in  
10 that a form-birefringent layer structure (14, 16) is applied to the entry and/or exit face (13, 15).
2. Retardation plate according to Claim 1, characterised in that the form-birefringent layer structure (14, 16) is  
15 configured as a periodic sequence of at least two dielectric layers (161, 162, ..., 166) with alternating refractive indices.
3. Retardation plate according to Claim 2, characterised  
20 in that the thickness (d) of the layers (161, 162, ..., 166) is less than the wavelength for which the retardation plate is designed.
4. Retardation plate according to Claim 3, characterised  
25 in that the thicknesses (d) of the layers (161, 162, ..., 166) are less than  $1/5$ , advantageously less than  $1/10$ , of the wavelength for which the retardation plate is designed.
5. Retardation plate according to one of the preceding  
30 claims, characterised in that all the layers (161, 162, ..., 166) have the same thickness (d).

Abstract

A retardation plate (10) comprises a birefringent crystal plate (12), which has an entry face (13) and an exit face (15) for incident and emerging light, respectively. The  
5 crystal plate (12) consists of an alkaline-earth metal fluoride, in particular of fluorspar. Its optical axis (11) is aligned at least approximately in the direction of the  $\langle 110 \rangle$  crystal axis or of a principal crystal axis equivalent thereto. A form-birefringent dielectric layer  
10 structure (14, 16) is applied to the entry and/or exit face (13, 15). It may, for example, be a periodic sequence of at least two layers (161, 162, ..., 166) with alternating refractive indices. The retardation plate (10) is suitable for ultraviolet light, and it permits a large range of  
15 angles of incidence.

(Figure 1)

